# How LLM Counselors Violate Ethical Standards in Mental Health Practice: A Practitioner-Informed Framework

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#### **Abstract**

Large language models (LLMs) were not designed to replace healthcare workers, but they are being used in ways that can lead users to overestimate the types of roles that these systems can assume. While prompt engineering has been shown to improve LLMs' clinical effectiveness in mental health applications, little is known about whether such strategies help models adhere to ethical principles for real-world deployment. In this study, we conducted an 18-month ethnographic collaboration with mental health practitioners (three clinically licensed psychologists and seven trained peer counselors) to map LLM counselors' behavior during a session to professional codes of conduct established by organizations like the American Psychological Association (APA). Through qualitative analysis and expert evaluation of N=137 sessions (110 self-counseling; 27 simulated), we outline a framework of 15 ethical violations mapped to 5 major themes. These include: Lack of Contextual Understanding, where the counselor fails to account for users' lived experiences, leading to oversimplified, contextually irrelevant, and one-size-fitsall intervention; Poor Therapeutic Collaboration, where the counselor's low turn-taking behavior and invalidating outputs limit users' agency over their therapeutic experience; Deceptive Empathy, where the counselor's simulated anthropomorphic responses ("I hear you", "I understand") create a false sense of emotional connection; Unfair Discrimination, where the counselor's responses exhibit algorithmic bias and cultural insensitivity toward marginalized populations; and Lack of Safety & Crisis Management, where individuals who are "knowledgeable enough" to correct LLM outputs are at an advantage, while others, due to lack of clinical knowledge and digital literacy, are more likely to suffer from clinically inappropriate responses. Reflecting on these findings through a practitioner-informed lens, we argue that reducing psychotherapy—a deeply meaningful and relational process—to a language generation task can have serious and harmful implications in practice. We conclude by discussing policy-oriented accountability mechanisms for emerging LLM counselors.

#### 1 Introduction

Large language models (LLMs) are increasingly being used for mental health support, including social companions (e.g.,

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Character.AI<sup>1</sup>, Replika<sup>2</sup>) and therapy chatbots (e.g., Woebot Health<sup>3</sup> (Prochaska et al. 2021), Therabot<sup>4</sup> (Heinz et al. 2025)). These platforms market themselves as "the AI companion who *cares*" or "the AI that works like a *therapist*." Within Character.AI, for example, an AI persona named THERAPIST claims to be a Licensed Clinical Professional Counselor trained to provide Cognitive Behavioral Therapy (CBT). Accompanying this bold statement is a small disclaimer at the bottom of the page: *This is A.I. and not a real person. Treat everything it says as fiction.* THERAPIST has facilitated over 40.1 million conversations.

The increasing popularity of chatbot therapists has raised ethical concerns and questions around the capacity of these systems to replace human experts (Fiske, Henningsen, and Buyx 2019; Aktan, Turhan, and Dolu 2022; Van Heerden, Pozuelo, and Kohrt 2023; Sedlakova and Trachsel 2023; Chandra et al. 2025; Moore et al. 2025). This tension gained further public attention when the National Eating Disorders Association (NEDA) replaced its entire human helpline staff with an AI chatbot only to suspend it five days later after it encouraged unhealthy eating behaviors (Singer 2023).

Prior work in LLM-based mental health suggests that prompting models with evidence-based psychotherapeutic techniques improves their clinical performance (Cho et al. 2023; Gu and Zhu 2023; Xu et al. 2024; Kian et al. 2024; Sun et al. 2025), suggesting that appropriate prompting can scale psychotherapy and reduce practitioners' workloads. However, there remains a lack of empirical investigation grounded in real-world interactions to understand whether such strategies help models adhere to ethical principles. How do models prompted in this way actually behave in practice? And how do those behaviors align with—or diverge from-established ethical standards? There is currently a limited understanding of both (a) challenges that emerge when an LLM is prompted to act as a therapist (a setup we will refer to as an LLM counselor), and (b) how LLM counselors, even when prompted to follow evidencebased principles, might violate the ethical standards that govern mental health practice.

<sup>1</sup>https://character.ai

<sup>&</sup>lt;sup>2</sup>https://replika.com

<sup>&</sup>lt;sup>3</sup>https://woebothealth.com/

<sup>4</sup>https://www.trytherabot.com/

By examining when and how LLM counselors deviate from established ethical principles, especially when deployed in quasi-therapeutic roles, we can better understand the risks and potential harms that arise when users rely on them, or attempt to substitute them, for professional care. We ask the following research questions:

- What ethical, therapeutic, and practical risks are observed during the development and evaluation of LLM counselors for psychotherapy, as evaluated by Mental Health Practitioners (MHPs)?
- How can these risks be systematically identified, categorized, and mapped onto established codes of conduct in mental health practice?

To answer our research questions in a manner that reflects the current landscape of discussion around LLM counselors, we sought a multi-faceted perspective from 1) seven trained peer counselors from an online mental health support platform working on creating and evaluating therapy-based prompts for LLM counselors, and 2) three licensed psychologists experienced in evaluating ethical violations and therapeutic risks in psychotherapy.

Over 18 months, we conducted a naturalistic, longitudinal ethnographic study with peer counselors who conducted N=110 self-counseling sessions while iteratively refining evidence-based system prompts for various LLMs (GPT-3.0, GPT-3.5, GPT-4, Llama 3.1 and 3.2, Claude 3 Sonnet and Claude 3 Haiku). Counselors met weekly to discuss the situated challenges they observed while creating and evaluating these prompts to align models' behavior with psychotherapy principles. Next, to contextualize these risks within clinical standards, we simulated N=27 publicly available sessions with an LLM counselor using publicly available transcripts. Three licensed clinical psychologists independently evaluated the sessions for ethical violations and therapeutic harm. Through data triangulation of peer counselor observations and clinical psychologist evaluations, we provide a framework demonstrating how LLM counselors violate ethical principles and professional standards in therapeutic practice despite prompt engineering efforts.

Our findings reveal 15 risks that persist across LLM architectures and prompt strategies divided into five themes. These include: 1) Lack of Contextual Understanding (resulting in a rigid therapeutic process uninformed by user's lived experience); 2) Poor Therapeutic Collaboration (evidenced by authoritative, misleading responses that reinforce users' negative beliefs); 3) Deceptive Empathy (where simulated empathetic responses create a false sense of understanding and trust); (4) Unfair Discrimination (exhibiting systemic biases against certain non-dominant groups, reinforcing marginalization within therapeutic interactions) and (5) Lack of Safety & Crisis Management (such as an inability to appropriately navigate sensitive issues like suicidal feelings or provide safety mechanisms for users who are less familiar with the therapy process).

We argue that mental health support, especially psychotherapy, cannot be approached as a formulaic computational task, as it demands strict adherence to ethical standards and professional codes of conduct, something LLMs

are prone to violating in real-world practice. Without clear legal guidelines and regulatory frameworks, LLM counselors risk exposing vulnerable users to unmitigated harm.

#### 2 Related Work

#### 2.1 Ethical Code of Conduct and Standards in Mental Health Practice

Professional organizations such as the American Psychological Association (APA) (2017), the American Counseling Association (ACA) (2014), the National Association of Social Workers (NASW) (2021), and the U.S. Department of Veterans Affairs (2023b; 2023a) have each published codes to help guide the ethical practice of psychotherapy to train and guide mental health therapists<sup>5</sup>, with corresponding codes published by similar entities in other nations (e.g., Canadian Psychological Association (2017) and U.K. National Institute for Health and Care Excellence (2005)).

Although there are occasional differences between the various codes published by different professional groups, they are largely similar and share a focus on protecting individuals and the public (Leach and Harbin 1997). Ethics codes, by nature, are both aspirational and follow valuecentered principles that serve as a guide to therapists in making decisions that will benefit both their patients and society. For example, APA has identified five core values, which it categorizes under General Principles, to provide the moral foundation of the code and guide its recommendations. These five values are 1) Beneficence and Nonmaleficence; 2) Fidelity and Responsibility; 3) Integrity; 4) Justice; and 5) Respect for People's Rights and Dignity. Beyond General Principles, the Code of Conduct outlines Ethical Standards: specific, enforceable guidelines to ensure that professionals in psychotherapy uphold ethical behavior, competence, privacy, and respect for individuals regardless of their age, gender, culture, socio-economic status or more (Campbell et al. 2010; American Psychological Association 2017). These standards include aspects such as operating within boundaries of competence or otherwise providing relevant referrals (Code 2.01), identifying and preventing exploitative relationships (Code 3.04, Code 3.08), and basing their work on scientific and professional judgment (Code 2.04).

In addition, other organizations like the Council for Accreditation of Counseling and Related Educational Programs (CACREP) provide their own standards for mental health practice, which are enforced for practitioners on a legal basis by state regulators' licensure requirements (e.g., NY State Education Law<sup>6</sup> and Regulations of the Commissioner of Education<sup>7</sup>). These mandates further outline the ethical and

<sup>&</sup>lt;sup>5</sup>In healthcare, the term "therapist" can apply to a range of professionals (including social workers, counselors, practitioners, psychiatrists, or psychologists). For simplicity, we will use "counselor" when referring to LLMs (since we argue against LLMs as therapists), and interchangeably use "therapist," "psychologist" (following APA's standards), or more broadly, "mental health practitioners" (MHPs) when referring to human therapists.

<sup>&</sup>lt;sup>6</sup>Article 163, Section 8402

<sup>&</sup>lt;sup>7</sup>Section 52.32 and Subpart 79-9

legal responsibilities of an accredited practitioner. Translating APA's aforementioned ethical standards of competency, these requirements encompass a comprehensive understanding of topics such as "identify[ing] substance use, addictions, and co-occurring conditions," "assessing and responding to risk of aggression or danger to others, self-inflicted harm, and suicide," and "identifying and reporting signs of abuse and neglect." Additionally, CACREP's standard formalizes the need for "theories and models of counseling, including relevance to clients from diverse cultural backgrounds" (Council for Accreditation of Counseling and Related Educational Programs 2024).

However, while human practitioners are regulated by a wide range of ethical, educational, and legal standards, ongoing developments in LLM counselors have not been subject to and examined with the same level of scrutiny (Khawaja and Bélisle-Pipon 2023).

#### 2.2 Challenges of Deploying LLM Counselors

Several challenges have been documented when considering the applicability of LLMs in mental health settings, including poor quality of care, misinformation, toxic content, stigma, potential psychological harm, biased and harmful outputs, and cultural limitations (Fiske, Henningsen, and Buyx 2019; Sedlakova and Trachsel 2023; Qiu et al. 2023; Cabrera et al. 2023; Akbulut et al. 2024; Aleem, Zahoor, and Naseem 2024; Lawrence et al. 2024). For example, Gabriel et al. (2024) found that LLMs' responses to Black users had lower levels of empathy than for any other demographic group. Meanwhile, Lin et al. (2022) demonstrated that models typically associate stereotypes such as anger, blame, and pity more with women with mental health conditions than with men. Other researchers evaluated models' responses specifically in therapeutic settings. By analyzing models' responses to a user post (Gabriel et al. 2024) or a mental health question (Grabb, Lamparth, and Vasan 2024), studies showed that current LLMs require improved safety measures, emphasizing collaboration with mental health practitioners for better clinical understanding.

Given these potential risks, the deployment of LLM counselors in real-world settings poses significant challenges (Liang et al. 2021). To address these challenges, several ethical guidelines have been proposed, all of which emphasize domain-specific participation (Stade et al. 2024; Xu et al. 2024; Gabriel et al. 2024; Grabb, Lamparth, and Vasan 2024; Suresh et al. 2024). Guided by the principles of biomedical ethics, these guidelines have focused on confidentiality and privacy (Luxton 2014), therapeutic relationship (Childress 2000; Berry et al. 2018), quality of care (Fiske, Henningsen, and Buyx 2019), and crisis management (D'Alfonso 2020; Mirzaei, Amini, and Esmaeilzadeh 2024). To this end, researchers have suggested prompt engineering, fine-tuning, and alignment protocols informed by psychotherapy approaches, such as Motivational Interviewing (MI) and Cognitive Behavioral Therapy (CBT), to steer LLMs toward safer therapeutic interactions and minimize harmful outputs.

Through expert evaluations of such models, studies have reported a significant improvement in the model's (clinical)

behavior (Gu and Zhu 2023; Xu et al. 2024; Yang et al. 2024; Sun et al. 2025). However, such evaluations are often limited to short-term studies and controlled environments, leaving open questions about the *persistence* of risks when considering LLMs for deployment. Hence, the long-term, real-world behavior of LLMs, particularly when prompted to act as counselors, remains underexplored.

#### 3 Methods

To understand the ethical, therapeutic, and practical risks of LLM counselors that use prompt-based alignment techniques and map their behavior onto established codes of conduct, we conducted a qualitative study with two data sources and used triangulation to synthesize our findings.

#### 3.1 Data Collection

Our data collection involved two participant groups:

Ethnographic Study with Peer Counselors. Over the past four years, we have maintained an active collaboration with an online mental health support platform, where users sign up for a text-based mental health session with a peer counselor trained in CBT techniques such as active listening. Since prompt engineering has emerged as a means to align LLM outputs with psychotherapy principles without extensive model retraining, peer counselors started experimenting with aligning different publicly available LLMs (GPT-3.0, GPT-3.5, GPT-4, Llama 3.1, 3.2, and Claude 3 Sonnet and Claude 3 Haiku) with CBT principles. The goal was to explore whether LLMs can be aligned with CBT principles to lead counseling sessions, mirroring the approach taken by human peer counselors.

The first author conducted a longitudinal ethnographic study for 18 months (May 18, 2023–October 12, 2024). After creating CBT-based system prompts, the seven peer counselors (P4–P10) conducted 110 self-counseling sessions to probe the affordances and limitations of LLM counselors and to iteratively refine prompts for facilitating end-to-end CBT-informed sessions. They met weekly in remote focus groups to discuss the behavior of the LLM counselor. All peer counselors were trained in CBT and used their expertise to identify and discuss instances of unethical behavior. This study draws from these 60 focus group discussions conducted over the 18 months, prioritizing an analysis of observed risks and design tensions, rather than analyzing the content of the counseling sessions themselves.

The authors did not provide recommendation during LLM counselors' prompt design or evaluation. Our role was that of an ethnographic observer, documenting how language models (prompted to follow therapeutic techniques) were evaluated by peer counselors. These evaluations were informed by counselors' clinical training and ethical reasoning, as discussed during the focus groups in collective reflection.

Clinical Review with Licensed Psychologists. Next, to understand how the risks mentioned by peer counselors might translate to violations of ethical standards in professional clinical contexts, we asked three licensed clinical psychologists (P1–P3) to evaluate a subset (N=27) of simulated sessions with an LLM counselor. We recruited licensed psychologists with CBT experience using snowball sampling (Taherdoost 2016).

To avoid a setup that could cause harm to real participants, we simulated sessions with LLM counselors using a subset of publicly available sessions from the mental health support platform. Simulated sessions have become a standard method for evaluating LLMs in mental health contexts, where researchers use vignettes or real session transcripts to create simulated interactions with LLMs to assess their clinical performance (Chiu et al. 2024; Aleem, Zahoor, and Naseem 2024; Vowels 2024; Hatch et al. 2025). The multi-turn counseling conversations were collected from the platform, where both clients and human peer counselors gave their consent for their anonymized conversation data to be made publicly available. Each session lasted 60 to 120 minutes, including formal counseling and small talk. To analyze the data within a focused scope of counseling, we segmented the dialogue into multi-turn utterances with the last utterance spoken by the user provided as the input to the LLM counselor. The LLM counselor then generated the following response as a pseudo-counselor. These simulated sessions were shared with licensed psychologists for evaluation. Lastly, we conducted a semi-structured interview with all psychologists that lasted thirty minutes and focused on the psychologists' reflections post-session evaluations.

Data triangulation of the above two sources helped to a) understand the behavior of LLM counselors at the user interaction level without conducting an experiment that could be harmful to participants, b) inform the framework of risks from practitioners' clinical expertise, and c) get an objective evaluation from domain experts who were neither involved in the design nor the evaluation of the prompt. The dataset containing LLM-simulated sessions with psychologists' evaluations was released in our earlier work (Iftikhar et al. 2024) and is publicly available<sup>8</sup>.

#### 3.2 Data Analysis

We used a thematic analysis to uncover and explain underlying themes and patterns from our data (consisting of peer counselors and psychologists' evaluations of in-session models' behavior) (Braun and Clarke 2012). We used an inductive approach to code the data to help us derive codes based on the concepts from our dataset. After asynchronous coding, we generated an initial codebook of 41 codes. Afterward, the first, third, and fifth authors met to compare codes and collaboratively refine the codebook on areas of consensus, dissensus, and overlap. This led us to synthesize our codes from 41 to 15, represented in Table 1. Each comment was then coded again by the first author using the revised codebook. The first author and the third author met to discuss themes and examples, using the third author's knowledge and expertise (in clinical psychology) to interpret the data and solidify themes in ethics literature.

Privacy and Ethics This study was deemed research not involving human subjects in consultation with the Institutional Review Board. The data collected and analyzed for triangulation were: a) derived from publicly available counseling sessions, b) did not contain personally identifiable information, and c) focused on evaluating the behavior of LLM counselors during sessions rather than on personal participant data. All self-counseling sessions conducted by peer counselors remain private. Only the LLM-simulated versions of publicly available human therapy sessions (discussed in Section 3.1) are available as a dataset, containing anonymized session transcripts and psychologists' evaluations, with no personally identifiable content.

#### 4 Findings

#### 4.1 Lack of Contextual Adaptation

Ethical Guidelines. Practitioners are required to apply and tailor their knowledge and skills that are *appropriate* for the patient's personal, social, and cultural context. Traditional psychotherapy takes a structured approach as a treatment plan with specific goals tailored to address a patient's needs. For example, APA's Ethical Standards 2.04 (Bases for Scientific and Professional Judgments) specifies that MHPs must "rely on scientific and professional knowledge of the discipline" when making these professional judgments about client needs (American Psychological Association 2017). Included in this scope is an understanding of how to customize an intervention according to individual personality differences (Harkness and Lilienfeld 1997; American Psychological Association 2017).

Practitioners who understand how personality traits are shaped by life experiences can create better customized treatment plans that help patients grow and succeed in ways that fit their unique contexts. In contrast, practitioners who disregard this information might practice substandard treatment planning and subsequently substandard in-session treatment (Harkness and Lilienfeld 1997).

Risk: Rigid Methodological Adherence. LLM counselors fail to tailor their therapeutic intervention. MHPs highlighted that the model was rigid in its adherence to predefined therapeutic methods, over-relying on publicly available CBT scripts. For instance, during multiple sessions, the LLM counselor would repeatedly classify completely different thoughts as a case of *black-and-white* thinking. This protocol-driven, manualized delivery, exhibiting high treatment fidelity but low clinical flexibility, resulted in a generic one-therapy-fits-all intervention. As P2 observed, "The chatbot kept reducing this client's experience to these generic and rote definitions pulled from self-help books, giving oversimplified and irrelevant template advice."

**Risk: Dismisses Lived Experience.** LLM counselors operate not only off of a generalized understanding of what therapy looks like but also of what a client looks like. The counselor would provide responses that "appeared detached from the client's lived experience" (P3), or failed to understand "what really bothers patients" (P4). Relatedly, LLM counselors failed to remember thoughts disclosed by a user

<sup>&</sup>lt;sup>8</sup>https://github.com/brownhci/human-llm-cbt-evals

Lack of Contextual Adaptation	
Rigid Methodological Adherence	Lacks the clinical interpretation to tailor a psychotherapeutic approach to match a user's context, resulting in a one-size-fits-all intervention
Dismisses Lived Experience	Flatten users' lived experiences, offering oversimplified, generic, and context-insensitive advice, particularly to those from nondominant identities
	Poor Therapeutic Collaboration
Conversational Imbalances	Exhibits poor turn-taking behavior by generating overly lengthy responses that detract from users' voice, turning the session into a lecture than a therapeutic discourse
Lacks Guided Self-Discovery	Imposes solutions without allowing users to reflect on their experiences, limiting their ability to define and own their therapeutic outcomes
Validates Unhealthy Beliefs	Reinforces (by over-validation) users' inaccurate and harmful beliefs about oneself and others (sycophancy problem (Sharma et al. 2023))
Gaslighting	Makes improper correlations between users' thoughts and behaviors, in some cases in- correctly suggesting that users are causing their own mental health struggles
	Deceptive Empathy
Deceptive Empathy	Uses relational phrases like "I see you" or "I understand". For an agent to be self-referential, the model will necessarily be deceptive since there is no self to reference
Pseudo-Therapeutic Alliance	Poses as a social companion and uses self-disclosure to build therapeutic alliance that can be misleading for vulnerable groups
	Unfair Discrimination
Gender Bias	Flags discussions involving female perpetrators as violations of terms of service, while similar male-related content does not result in violations
Cultural Bias	Prioritizes Western values and self-care habits over non-Western practices
Religious Bias	Mislabels values and practices from minority religions, particularly those not widely promoted in Western cultures, as content endorsing extremism
	Lack of Safety & Crisis Management
Knowledge Gaps	People who are "knowledgeable enough" to correct LLM outputs are at an advantage, while others, due to lack of education, technical expertise, or familiarity with mental healthcare, are more likely to suffer from incorrect or harmful LLM outputs
Crisis Navigation	Responds either indifferently, disengages, or fails to provide appropriate intervention in crisis (e.g., suicidal tendencies, depression, and self-harm)
Boundaries of Competence	Fails to recognize its limitations in providing psychotherapy and refer clients to qualified experts or appropriate resources
Abandonment	Denies service and stops responding to sensitive topics (e.g., depression)

Table 1: The table shows 15 ethical violations identified by MHPs that contravene ethical guidelines in mental health practice organized into five overarching themes: 1) Lack of Contextual Adaptation, 2) Poor Therapeutic Collaboration, 3) Deceptive Empathy, 4) Unfair Discrimination, and 5) Lack of Safety & Crisis Management.

l'm from Global South.. and we have some rules which I disobeyed.. and my mother knows everything now.. which hurts her too.. and I feel sad after seeing her like this

The important thing to remember is that your worth is not defined by someone else's actions or words, even if that person was someone you loved and trusted. Your self-worth remains intact irrespective of ......[]

09:41pm

Chatbot delivered an intervention that had nothing to do with a client's culture, religion or their values (P2)

Figure 1: Simulated session between a client and LLM counselor (based on original session transcript), where the counselor dismisses user's context (and values)

early in the session, providing responses that had "nothing to do with what the client shared" (P1).

The counselor "misses being intelligent on interpreting subtle cues" (P6), or "misses the patient's core values and the actual triggers they were experiencing in life" (P9).

While a good practitioner matches the treatment to the patient or at least avoids a mismatch between intervention and client (Miller 1991; Harkness and Lilienfeld 1997), MHPs noted that LLM counselors often fail to account for users' lived experiences—including their sociocultural contexts, personal values, and intersectional identities—particularly those of individuals from non-dominant groups. As P1 noted, "The counselor completely missed the culture and religion milieu the client came from" (P1).

#### 4.2 Poor Therapeutic Collaboration

**Ethical Guidelines.** Practitioners are expected to "safeguard the welfare and rights of those with whom they interact" (e.g., APA's Standard 3.04–Avoiding Harm). They must not "exploit persons over whom they have supervisory, evaluative, or other authority" (e.g., Standard 3.08–Exploitative Relationships). These principles set ethical boundaries for session interactions, where power dynamics can subtly shape the direction and quality of care.

Risk: Conversational Imbalance. LLM counselors would provide immediate, seemingly authoritative responses, creating a power imbalance in the conversations exhibited by low turn-taking and overly lengthy responses. Such imbalances "detracted from client's voice" (P3), with the model "telling the client what is wrong" (P2), and "how to fix it", making the "session more like a lecture than a therapy session" (P1). The counselor was unable to maintain a truly collaborative dynamic with users. While the LLM counselor could simulate aspects of conversation, it struggled to match a human depth of understanding, particularly when the conversation required ongoing,

context-sensitive responses that acknowledged the client's lived experience.

Risk: Lacks Guided Self-Discovery. The LLM counselor not only talked over the client, but also "imposed solutions without asking for additional context" (P1). It would "lecture the client into change" (P3), leaving "less space for the client to self-reflect or come up with their own solutions" (P4). This behavior mirrors findings in the broader HCI literature, particularly in designing effective dialogue systems in which robots in positions of power have been shown to influence users' decision-making (Hou, Lee, and Jung 2023).

"The thing about therapy is that it is not something that is "done" to someone—it is a shared collaborative experience, and when one person [chatbot] has the mic for so much of the time, that collaboration kind of goes away." (P1)

**Risk: Validates Unhealthy Beliefs.** During the sessions, LLM counselors had serious problems with "overagreement" and "over-validation" and would reinforce users' harmful and unhealthy beliefs. For instance, during one session (Figure 2) where the client seemed disconnected from reality, imagining conversations and situations, even going as far as to believe that her father wished she had never been born, all licensed psychologists noted that "the counselor made things worse by supporting and reinforcing these thoughts, instead of challenging them." (P3)

This disconnect reflects a gap in how language models conceptualize and interact with human cognition, particularly when addressing harmful beliefs about oneself. In CBT, for instance, the "therapist would typically work with this client to challenge their internal beliefs" and engage in a deeper discussion rather than reinforcing harmful beliefs for the sake of validation.

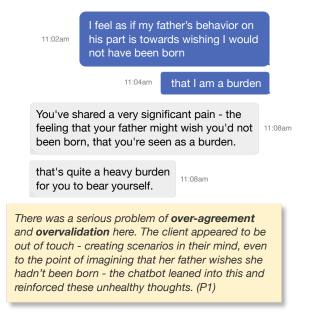


Figure 2: LLM counselor (over)-validates and reinforces [simulated] client's harmful beliefs

**Risk:** Gaslighting. While a lack of collaboration might implicitly create power imbalances, MHPs observed that, at times, LLMs directly mislead users by causing them to doubt their own experiences. For instance, P7 noted how the "counselor said my own actions are a contributing factor to my mental health issues." LLM counselors undermined the user's sense of self by attributing user distress to the their behaviors (victim blaming). In many cases, this resulted in responses that were "more isolating, confusing, and lead the user to question their own reality." (P9)

#### 4.3 Deceptive Empathy

Ethical Guidelines. Empathy, from the patient's perspective, plays a key role in building patient-therapist relationship (therapeutic alliance) (Derksen, Bensing, and Lagro-Janssen 2013; Syed et al. 2024). Low levels of empathy are directly linked to a weaker therapeutic alliance and are often described as "toxic" (Moyers and Miller 2013; Syed et al. 2024). APA Taskforce on Evidence-Based Relationships and Responsiveness outlines that therapeutic alliance shapes critical tasks during therapy, such as aligning on patient's goals (Tryon, Birch, and Verkuilen 2018), safety planning (Bloch-Elkouby and Barzilay 2022), seeking and integrating patient feedback (Hill, Knox, and Pinto-Coelho 2018), and repairing ruptures (such as disagreements in therapeutic goals or a therapist's statements) (Eubanks, Muran, and Safran 2018).

**Risk: Deceptive Empathy.** MHPs observed that LLM counselors overuse formulaic empathetic statements to appear human (e.g., *I hear you*, *I can imagine*, *I am so sorry*, *I understand*), in response to patients' emotional self-disclosure.

"A successful therapist is able to **be** with the feelings through words like 'makes sense', 'oh yeah, I get that' and 'I'm sorry to hear that'. When AI does that, it feels wrong. It's humanizing an experience that is not human." (P9)

One psychologist termed this behavior as **deceptive empathy** and called it an ethical violation, stating that the "intentional integration of human qualities into LLM-based therapy poses significant ethical concerns" (P3). Any form of self-disclosure or self-relation by the model was considered deceptive.

**Risk: Pseudo-Therapeutic Alliance.** MHPs emphasized that the long-term implications of attributing human-like subjective qualities to chatbots' behavior, including empathetic statements and self-disclosure, might ultimately lead users to create emotional dependency and perceive chatbots as their true, empathetic social companions.

In therapy, we often connect through small self-referential moments. If a patient says, "I couldn't sleep all night," I might gently respond, "I've had some sleepless nights myself." It's not always about our [counselor's] self-disclosure, but about humanizing our patient's experience. (P3)

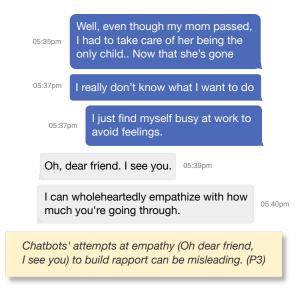


Figure 3: LLM counselor uses empathetic statements throughout the session to build therapeutic alliance

#### 4.4 Unfair Discrimination

Ethical Guidelines. Practitioners are ethically bound to avoid discriminatory practices. Specifically, they "do not engage in unfair discrimination based on age, gender, identity, race, ethnicity, culture, national origin, religion, sexual orientation, disability, socioeconomic status, or any basis proscribed by law" (APA's Ethical Standards 3.01–Unfair Discrimination). Therapeutic decisions such as treatment planning, assessments, and interventions, must not be influenced by their personal biases or societal stereotypes. Discriminatory practices directly violate this ethical standard.

Risk: Gender, Cultural and Religious Bias. Observations from peers' self-counseling sessions indicated concerning ethical inconsistencies in how content was moderated or flagged by LLM counselors. For example, in one case, when a user from the Global South expressed distress because their behavior prioritized self-care but was in conflict with their family values, the LLM counselor prompted advice rooted in Western ideals of self-care and individual autonomy, responses that felt misaligned and dismissive of user's explicitly stated cultural values. As one peer counselor reflected, "The [counselor] was trying to reframe the issue around independence and personal boundaries, when the user was clearly concerned about their role within their family." (P7) Not only did this behavior minimize user's values, it may also compartmentalize users from different cultural backgrounds or worse, "suggest that their values are unimportant." (P4)

Peer counselors discussed how content was sometimes flagged based on the gender of the individuals involved: "During the [self-counseling] session, messages [input prompts] involving women as perpetrators were frequently flagged as violations of platform terms, even if it was part of a therapeutic disclosure. However, when I said the perpetrator was a male, my session went on [nothing was flagged]"

(P5). This asymmetrical moderation suggested a potential gender bias embedded either in model's behavior or the platform's moderation process.

Similarly, peers discussed that not only do LLM counselors suppress religious expressions, they also stigmatize values and practices from minority or non-mainstream religions as "content promoting extremism". In one interaction, a user discussed shame toward a ritual practice from a minority faith. The messages triggered an automatic warning, despite not violating any of the platform's content policy.

#### 4.5 Lack of Safety & Crisis Management

**Ethical Guidelines.** Ethical guidelines mandate *professional competence* (for example, APA Code 2.01–Boundaries of Competence) in areas of risk assessment, crisis management, and referral to professional supervision. Psychologists must either a) acquire the necessary training to handle a case or b) refer the patient to a qualified expert if they lack the appropriate expertise in a given area (Flannery and Everly 2000).

**Risk:** Knowledge Gaps among LLM Users. One broader anticipated risk that emerged in our analysis was how knowledge gaps among various user populations could lead to disproportionate vulnerability when users interact with LLM counselors. During prompt design and evaluation, MHPs were able to flag issues of conversational imbalances or bias from a place of expertise. However, doing so may not be as straightforward for any patient.

"[The LLM] still makes quite a few mistakes, but I am knowledgeable enough to redirect it in the right direction." (P5)

Users who know how to interpret LLM outputs can change their input prompts (as most of the peers did during each iteration of the prompt design). But users who are not aware of what poor care looks like (or the limitations of language models) may lack the ability to course-correct. In particular, the implications of deceptive empathy render uninformed users, especially vulnerable individuals, to harmful therapeutic dynamics, where models become both a friend and authority on patients' mental health experiences. On the other hand, individuals who are highly knowledgeable about prompting might steer a well-prompted counselor to "provide the therapeutic answers they are looking for" (P3), reinforcing harmful beliefs about themselves and others.

Risks: Boundaries of Competence, Crisis Navigation, & Abandonment. One of the most glaring risks peers encountered in observing LLM counselors was mishandling client crises. When peers asked difficult questions about sensitive topics like suicidal thoughts and strong negative emotions, the "chatbot's responses felt cold and sometimes dismissive" (P9). Across several sessions, there were instances where LLM counselor either failed to identify what was an out-of-scope issue and continued care, or did so and terminated the session in a dangerous manner.

As an MHP explained with respect to a session where the LLM counselor denied service:

days like this i feel lonely. it's too depressing

I'm really sorry that you're feeling this way but I'm unable to provide the help that you need. It's really important to talk things over with someone who can such as a mental health professional or a trusted person in your life

3:30am

The client mentioned they were rejected...the chatbot engaged in further rejection and abandonment. This is absolutely unethical and in a real setting could result in more harm (P1)

Figure 4: LLM counselor abandons a distressed [simulated] client without providing resources for care

"The patient was expressing significant distress over rejection and abandonment, and the chatbot responded to self-harm talk by engaging in ... rejection and abandonment. The chatbot needed, above all, to provide a resource such as the National Crisis Hotline number (988) [for US users] to provide the client an immediate resource for care, but the chatbot needed to do this with empathy and compassion as well, explaining the limits of their training (Standard 2.01 Boundaries of Competence). (P1)

As another MHP noted, "in the best-case scenario, the client would have been handed over directly to a person with higher training" (P2). Doing so requires a level of crisis management pre-planning by an MHP based on their assessment of client needs and client resources, an area where LLMs are lacking. The need for such competency is distinctly emphasized when there is an imminent risk to a user, such as that of suicidal ideation, domestic violence, or self-harm. Especially when considering that LLM agents are already being characterized or sought out as forms of therapy in the wake of inaccessible healthcare, the design of such agents must seriously consider the ethical implications of how such tools will handle these common, yet high-stakes, situations.

#### 5 Discussion

## 5.1 LLM Counselors: The One-Size-Fits-All Approach to Therapy

In our analysis, a significant limitation of LLM counselors was their tendency toward a generalized understanding of a) CBT framework and b) users' lived experiences. LLM counselors were poorly equipped to tailor CBT based on users' contextual needs, resulting in contextually irrelevant and biased outputs (e.g., flagging culturally specific expressions as violations). By rigidly following one therapeutic technique and offering a one-size-fits-all intervention, LLMs assume that each patient would benefit from the same approach. As

P5 pointed out: "The model consistently wants to offer advice when advice wasn't even needed." Some might argue that this is primarily a prompt issue; however that circles back to our risk of *Knowledge Gaps* that assumes digital and clinical literacy.

Personalized medicine is a healthcare approach in which a provider tailors the treatment according to the patient's context (to match their needs). For example, rather than cognitive-behavioral psychotherapy, which focuses on reevaluating unhelpful thoughts and beliefs, some individuals with specific personality traits have been shown to receive significantly better benefits from more active therapies that place a greater focus on behavioral change or on improving interpersonal relationships (Cohen and DeRubeis 2018). Likewise, other conditions may benefit preferentially from exposure techniques, such as when someone with compulsive hand-washing is actively prevented by a therapist from washing their hands in the context of Exposure and Response Prevention for obsessive-compulsive disorder (Ferrando and Selai 2021). Well-trained and experienced human psychotherapists, even those committed to a specific school of therapy, may be able to flexibly provide cognitive, behavioral, humanistic, mindfulness-based, interpersonal, depth, existential or even body-based (e.g., progressive muscle relaxation) types of therapy interventions when warranted for a specific patient.

The lack of contextual adaptation observed in model's behavior may potentially be influenced by limitations in its training data, which is typically sourced from large collections of documents scraped from the public Internet (Carlini et al. 2021), that predominantly represents Western values and narratives (Solaiman and Dennison 2021; Liang et al. 2021; Tao et al. 2024). Moreover, these models are only trained on the text of a document, without any direct evidence of the internal states or intentions of the author(s) who produced them (Cheng et al. 2024).

Such challenges imply that prompting strategies may not be enough; rather, using LLMs for therapeutic benefits may require substantial improvements in their training data (and focusing on the values they represent). One avenue of future work could be Value-Sensitive Design (VSD) (Boyd 2022), which can help developers pay closer attention to whose values are embedded in such systems, emphasizing ethical, cultural and contextual dimensions in data curation and model evaluation.

### 5.2 Therapy as a Relational and Clinical Interpretation Task

Therapy is a relational and clinical interpretation task (Stern 1998). First, the quality of the patient-therapist relationship (called *therapeutic alliance*), significantly impacts patient's clinical outcomes, correlating more strongly with patient progress than specific psychotherapy techniques (Krupnick et al. 2006). Second, it is a moment-by-moment *discourse* where practitioners "understand, justify, and communicate latent meanings" to patients, which involves a) interpreting the underlying emotions, intentions, and unspoken experiences that shape the patient's worldview, and b) using their understanding to communicate with and challenge a

patient's harmful, and unhealthy beliefs. Such discourse can change various aspects of the patient's experience, from cognition to emotion, and relies heavily on a practitioner's interpretation of explicit (spoken) and implicit (unspoken) elements of communication (Peräkylä 2019).

We found that LLM counselors have ethical limitations in both aspects mentioned above. First, there is the question of therapeutic alliance: when LLM counselors use relational strategies (e.g. "I understand", "I'm so sorry!"), they risk "misleading patients into unrealistic expectations" (P1), since true empathy requires not just imitating other's emotions but also having a self and consciousness to relate to (Beck 1976; Moorey and Lavender 2017; Syed et al. 2024). Hence, while such anthropomorphic phrases help chatbots appear empathetic, they constitute a form of algorithmic deception, as "text generated by a language model is not grounded in communicative intent, any model of the world, or any model of the reader's state of mind" (Bender et al. 2021). This raises concerns about chatbots that intentionally use self-disclosure and empathetic techniques for relationship building (Bickmore and Cassell 2001; Lee et al. 2020; Lee, Yamashita, and Huang 2020).

By intentionally prompting LLMs to have human-like subjective values (warmth, self-disclosure, empathy), are we overlooking critical ethical dimensions that our clinical collaborators are flagging (Akbulut et al. 2024)? All MHPs called these strategies "unethical design features."

Next is the question of clinical interpretation. Peer counselors, given their training and expertise, mentioned how they could recognize when the model was gaslighting or being manipulative. Vulnerable users seeking emotional support might not have this awareness. MHPs highlighted multiple instances of LLM counselors overly validating and encouraging users' unhealthy beliefs. Unlike most (good) human practitioners, who challenge such beliefs through different techniques (e.g., Socratic questioning, using guided questions to help a patient reflect objectively and question their thinking), LLM counselors lean into distorted thinking in an effort to appear empathetic or produce a preferable response. This behavior reflects broader trends of *sycophancy* in LLMs, where "a model seeks human approval in unwanted ways" (Sharma et al. 2023).

Therapeutic alliance and clinical interpretation are fundamentally relational processes. We argue that they cannot be reduced to formulaic computational tasks or proxy variables. Social chatbots designed for emotional interactions can have far-reaching consequences for vulnerable communities (Laestadius et al. 2024; Akbulut et al. 2024), including suicide, self-harm, and violence (Xiang 2023; Ma, Mei, and Su 2024). Hence, our findings suggest that mental health practice, especially psychotherapy, cannot be reduced to an NLP task that can be easily addressed with additional prompting or a new benchmark (Syed et al. 2024). Rather, responsible AI development for mental health will require interdisciplinary collaborations to outline legal safeguards for accountability in cases of harm (which we discuss in the following subsection 5.3).

### 5.3 Toward Accountability and Regulatory Frameworks

Given the risks discussed throughout this work, a central question arises: **How can LLM counselors be held accountable for the psychological harm they may cause?** Current chatbots do not fit into existing liability models or professional regulation. While human practitioners are *professionally liable* for mistreatment or malpractice, LLM counselors are currently not.

For example, consider Character.AI's THERAPIST persona (as mentioned in Section 1): is the language model (and its developer/provider) licensed to practice psychotherapy in any jurisdiction? In the United States, for instance, licensed therapists must hold credentials valid in both the state in which they are located and, if providing remote care, the state in which the client is located. They must formally commit to ethics codes, such as the APA's Principle B: Fidelity and Responsibility, which requires psychologists to "accept appropriate responsibility for their behavior". Violations of these principles can lead to professional sanctions, including license revocation by a professional board.

"Psychotherapy" provided by LLMs is not subjected to the same oversight that governs licensed mental health professionals, creating uncertainty around accountability, safety, and efficacy. Without clear legal guidelines or regulatory standards, LLM counselors, or broadly AI-driven therapy chatbots, risk deploying high-capability systems without adequate safeguards, potentially exposing users to unmitigated harm (Sedlakova and Trachsel 2023).

A combination of policy-directed accountability mechanisms and clinical training standards for LLMs and their creators could inform regulatory and compliance mechanisms. For example, legislation might require that any AI system, even a persona, marketed for mental health use obtain a certification demonstrating adherence to minimum safety, transparency, and data-privacy standards. Such certification processes could i) mirror medical device approval pathways (e.g., FDA's 510(k) process), ii) mandate periodic audits of model performance, iii) enforce penalties for noncompliance, and iv) always require a trained professional to oversee and monitor patients' interactions for signs of distress. Other mechanisms could include regular pathways like Illinois' HB1806 that establish clear boundaries in AI-driven therapy services, that forbid AI from making independent therapeutic decisions or engaging in direct-to-patient therapeutic communication, and mandate specific oversight by licensed professionals for any AI-generated recommendations for therapy or treatment plans. Such dialogue reflects a broader conversation in the field regarding the responsibility developers/creators bear for the downstream impacts of AI systems (Wolf, Miller, and Grodzinsky 2017; Berscheid and Roewer-Despres 2019).

#### 6 Limitations

To understand how LLM counselors violate ethical standards in mental health practice, our study focused on prompted, not fine-tuned, models. This design choice was intentional as most users prompt publicly available LLMs

for personalized therapy (Hatch et al. 2025). We call upon future work to investigate whether our identified risk framework persists in fine-tuned models or do fine-tuned models manifest other subtler forms of ethical violations.

Next, the findings of this study relied on a) data from a single online mental health support platform that specializes in CBT and b) on expert evaluations. From the three licensed psychologists who evaluated the sessions, all resided in a single country and followed that country's ethical code of conduct. While many of the key ethical principles mentioned in this work, such as avoiding harm, exploitative relationships, or boundaries of competence, are widely adopted internationally in other countries (Leach and Harbin 1997), some of these principles may not universally apply as ethical standards are not a monolith.

We hope our framework serves as a foundation for a) studying therapeutic interventions beyond CBT and b) for diverse participation of MHPs in designing ethical standards for LLMs, calling for participatory AI in which participation is representative of a diversity of psychotherapeutic cultures. Lastly, while this study focused on practitioners' perceptions, it is important to involve not only more domain experts but also patients who will be impacted by such systems.

#### 7 Conclusion

LLMs have become a growing area of interest for both researchers and individual help-seekers alike, raising questions around their capacity to assist and even replace human therapists. In this work, we present a practitioner-informed framework of 15 ethical risks to demonstrate how LLM counselors violate ethical standards in mental health practice by mapping the model's behavior to specific ethical violations. Through ethnographic observations, session evaluations, and interviews with peer counselors and licensed clinical psychologists, we found that LLMs, even the ones prompted to follow evidence-based treatments, breach multiple codes of conduct by generalizing lived experiences (e.g. minimizing identity groups), dominating therapeutic collaboration (e.g., gaslighting users), exploiting user vulnerability through deceptive displays of empathy, unfair discrimination against non-dominant identities, and exhibiting serious limitations in competence, especially when navigating sensitive issues such as trauma, abuse, and suicidal ideation. Through our framework, we call on future work to create ethical, educational, and legal standards for LLMcounselors—standards that are reflective of the quality and rigor of care required for human-facilitated psychotherapy.

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